

Annex 6 - DISCLOSURE ON OWN FUNDS TEMPLATES

INVESTMENT FIRMS DISCLOSURE			
Template number	Template code	Name	Legislative reference
		OWN FUNDS	
1	IF CC1	COMPOSITION OF REGULATORY OWN FUNDS	Art 49(1)(c)
2	IF CC2	OWN FUNDS RECONCILIATION WITH AUDITED FINANCIAL STATEMENTS	Art 49(1)(a)
3	IF CCA	OWN FUNDS MAIN FEATURES	Art 49(1)(b)

Ημερομηνία αναφοράς: 31/12/2020

Ημερομηνία αναφοράς: 31/12/2020

Template EU IF CC1.01 - Composition of regulatory own funds (investment firms other than small and non-interconnected)

		(a)	(b)
		Amounts	Source based on reference numbers/letters of the balance sheet in the audited financial statements
Common Equity Tier 1 (CET1) capital: instruments and reserves			
1	OWN FUNDS	5.853.459,89	
2	TIER 1 CAPITAL	5.857.461,42	
3	COMMON EQUITY TIER 1 CAPITAL	5.857.461,42	
4	Fully paid up capital instruments	3.296.000,00	Κατάσταση Χρηματοοικονομικής Θέσης σημ. 5.7
5	Share premium		
6	Retained earnings	141.461,69	Κατάσταση Χρηματοοικονομικής Θέσης σημ. 5.7.
7	Accumulated other comprehensive income		
8	Other reserves	2.536.714,27	Κατάσταση Χρηματοοικονομικής Θέσης σημ. 5.7.
9	Minority interest given recognition in CET1 capital		
10	Adjustments to CET1 due to prudential filters		
11	Other funds		
12	(-)TOTAL DEDUCTIONS FROM COMMON EQUITY TIER 1		
13	(-) Own CET1 instruments		
14	(-) Direct holdings of CET1 instruments		
15	(-) Indirect holdings of CET1 instruments		
16	(-) Synthetic holdings of CET1 instruments		
17	(-) Losses for the current financial year		
18	(-) Goodwill		
19	(-) Other intangible assets	-116.059,04	Κατάσταση Χρηματοοικονομικής Θέσης σημ. 5.2.
20	(-) Deferred tax assets that rely on future profitability and do not arise from temporary differences net of associated tax liabilities	-655,50	Κατάσταση Χρηματοοικονομικής Θέσης σημ. 5.3.
21	(-) Qualifying holding outside the financial sector which exceeds 15% of own funds		
22	(-) Total qualifying holdings in undertaking other than financial sector entities which exceeds 60% of its own funds		
23	(-) CET1 instruments of financial sector entities where the institution does not have a significant investment		
24	(-) CET1 instruments of financial sector entities where the institution has a significant investment		
25	(-) Defined benefit pension fund assets		
26	(-) Other deductions		

27	CET1: Other capital elements, deductions and adjustments		
28	ADDITIONAL TIER 1 CAPITAL	0,00	
29	Fully paid up, directly issued capital instruments		
30	Share premium		
31	(-) TOTAL DEDUCTIONS FROM ADDITIONAL TIER 1		
32	(-) Own AT1 instruments		
33	(-) Direct holdings of AT1 instruments		
34	(-) Indirect holdings of AT1 instruments		
35	(-) Synthetic holdings of AT1 instruments		
36	(-) AT1 instruments of financial sector entities where the institution does not have a significant investment		
37	(-) AT1 instruments of financial sector entities where the institution has a significant investment		
38	(-) Other deductions		
39	Additional Tier 1: Other capital elements, deductions and adjustments		
40	TIER 2 CAPITAL	-4.001,53	
41	Fully paid up, directly issued capital instruments		
42	Share premium		
43	(-) TOTAL DEDUCTIONS FROM TIER 2		
44	(-) Own T2 instruments		
45	(-) Direct holdings of T2 instruments		
46	(-) Indirect holdings of T2 instruments		
47	(-) Synthetic holdings of T2 instruments		
48	(-) T2 instruments of financial sector entities where the institution does not have a significant investment		
49	(-) T2 instruments of financial sector entities where the institution has a significant investment		
50	Tier 2: Other capital elements, deductions and adjustments	-4.001,53	

Template EU IF CC1.02 - Composition of regulatory own funds (Small and non-interconnected investment firms)

		(a)	(b)
		Amounts	Source based on reference numbers/letters of the balance sheet in the audited financial statements
Common Equity Tier 1 (CET1) capital: instruments and reserves			
1	OWN FUNDS		
2	TIER 1 CAPITAL		
3	COMMON EQUITY TIER 1 CAPITAL		
4	Fully paid up capital instruments		
5	Share premium		
6	Retained earnings		
7	Accumulated other comprehensive income		
8	Other reserves		
9	Adjustments to CET1 due to prudential filters		
10	Other funds		
11	(-)TOTAL DEDUCTIONS FROM COMMON EQUITY TIER 1		

12	(-) Losses for the current financial year		
13	(-) Goodwill		
14	(-) Other intangible assets		
15	(-) Deferred tax assets that rely on future profitability and do not arise from temporary differences net of associated tax liabilities		
16	(-) Qualifying holding outside the financial sector which exceeds 15% of own funds		
17	(-) Total qualifying holdings in undertaking other than financial sector entities which exceeds 60% of its own funds		
18	(-) Other deductions		
19	CET1: Other capital elements, deductions and adjustments		
20	ADDITIONAL TIER 1 CAPITAL		
21	Fully paid up, directly issued capital instruments		
22	Share premium		
23	(-) TOTAL DEDUCTIONS FROM ADDITIONAL TIER 1		
24	Additional Tier 1: Other capital elements, deductions and adjustments		
25	TIER 2 CAPITAL		
26	Fully paid up, directly issued capital instruments		
27	Share premium		
28	(-) TOTAL DEDUCTIONS FROM TIER 2		
29	Tier 2: Other capital elements, deductions and adjustments		

Template EU IF CC1.03 - Composition of regulatory own funds (Group capital test)

		(a)	(b)
		Amounts	Source based on reference numbers/letters of the balance sheet in the audited financial
Common Equity Tier 1 (CET1) capital: instruments and reserves			
1	OWN FUNDS		
2	TIER 1 CAPITAL		
3	COMMON EQUITY TIER 1 CAPITAL		
4	Fully paid up capital instruments		
5	Share premium		
6	Retained earnings		
7	Previous years retained earnings		
8	Profit or loss eligible		
9	Accumulated other comprehensive income		
10	Other reserves		
11	Adjustments to CET1 due to prudential filters		
12	Other funds		
13	(-)TOTAL DEDUCTIONS FROM COMMON EQUITY TIER 1		
14	(-) Own CET1 instruments		
15	(-) Losses for the current financial year		
16	(-) Goodwill		
17	(-) Other intangible assets		

18	(-) Deferred tax assets that rely on future profitability and do not arise from temporary differences net of associated tax liabilities		
19	(-) Qualifying holding outside the financial sector which exceeds 15% of own funds		
20	(-) Total qualifying holdings in undertaking other than financial sector entities which exceeds 60% of its own funds		
21	(-) CET1 instruments of financial sector entities where the institution does not have a significant investment		
22	(-) Defined benefit pension fund assets		
23	(-) Other deductions		
24	CET1: Other capital elements, deductions and adjustments		
25	ADDITIONAL TIER 1 CAPITAL		
26	Fully paid up, directly issued capital instruments		
27	Share premium		
28	(-) TOTAL DEDUCTIONS FROM ADDITIONAL TIER 1		
29	(-) Own AT1 instruments		
30	(-) AT1 instruments of financial sector entities where the institution does not have a significant investment		
31	(-) Other deductions		
32	Additional Tier 1: Other capital elements, deductions and adjustments		
33	TIER 2 CAPITAL		
34	Fully paid up, directly issued capital instruments		
35	Share premium		
36	(-) TOTAL DEDUCTIONS FROM TIER 2		
37	(-) Own T2 instruments		
38	(-) T2 instruments of financial sector entities where the institution does not have a significant investment		
39	Tier 2: Other capital elements, deductions and adjustments		

Ημερομηνία αναφοράς: 31/12/2020

Template EU IFCC2: Own funds: reconciliation of regulatory own funds to balance sheet in the audited financial statements

Flexible template.

Rows have to be reported in line with the balance sheet included in the audited financial statements of the investment firm.

Columns shall be kept fixed, unless the investment firm has the same accounting and regulatory scope of consolidation, in which case the volumes have to be entered in column (a) only.

		a
		Balance sheet as in published/audited financial statements
		As at period end 31.12.2020
Assets - Breakdown by asset classes according to the balance sheet in the published/audited financial statements		
1	ΕΝΣΩΜΑΤΑ ΠΑΓΙΑ	938.757,72
2	ΑΥΛΑ ΠΕΡΙΟΥΣΙΑΚΑ ΣΤΟΙΧΕΙΑ	116.059,04
4	ΛΟΙΠΑ ΜΗ ΚΥΚΛΟΦΟΡΟΥΝΤΑ ΠΕΡΙΟΥΣΙΑΚΑ ΣΤΟΙΧΕΙΑ	2.372.659,12
5	ΑΠΑΙΤΗΣΕΙΣ ΑΠΟ ΠΕΛΑΤΕΣ	1.533.313,13
6	ΛΟΙΠΑ ΚΥΚΛΟΦΟΡΟΥΝΤΑ ΠΕΡΙΟΥΣΙΑΚΑ ΣΤΟΙΧΕΙΑ	2.806.716,41
7	ΜΗ ΚΥΚΛΟΦΟΡΟΥΝΤΑ ΠΕΡΙΟΥΣΙΑΚΑ ΣΤΟΙΧΕΙΑ ΠΡΟΟΡΙΖΟΜΕΝΑ ΓΙΑ ΠΩΛΗΣΗ	1.292,00
	Total Assets	7.768.797,42
Liabilities - Breakdown by liability classes according to the balance sheet in the published/audited financial statements		
1	ΠΡΟΒΛΕΨΕΙΣ ΠΑΡΟΧΩΝ ΠΡΟΣΩΠΙΚΟΥ	53.551,87
2	ΜΑΚΡΟΠΡΟΘΕΣΜΕΣ ΥΠΟΧΡΕΩΣΕΙΣ ΜΙΣΘΩΜΑΤΩΝ	18.313,95
3	ΥΠΟΧΡΕΩΣΕΙΣ ΣΕ ΠΕΛΑΤΕΣ-ΧΡΗΜΑΤΙΣΤΗΡΙΑ	1.198.107,92
4	ΠΡΟΜΗΘΕΥΤΕΣ ΚΑΙ ΛΟΙΠΕΣ ΒΡΑΧΥΠΡΟΘΕΣΜΕΣ ΥΠΟΧΡΕΩΣΕΙΣ	524.647,72
	Total Liabilities	1.794.621,46
Shareholders' Equity		
1	ΜΕΤΟΧΙΚΟ ΚΕΦΑΛΑΙΟ	3.296.000,00
2	ΛΟΙΠΑ ΑΠΟΘΕΜΑΤΙΚΑ	2.536.714,27
3	ΑΠΟΤΕΛΕΣΜΑΤΑ ΕΙΣ ΝΕΟΝ	141.461,69
	Total Shareholders' equity	5.974.175,96

Ημερομηνία αναφοράς: 31/12/2020

Template EU IF CCA: Own funds: main features of own instruments issued by the firm

		a
		<i>Free text</i>
1	Issuer	
2	Unique identifier (e.g. CUSIP, ISIN or Bloomberg identifier for private placement)	
3	Public or private placement	
4	Governing law(s) of the instrument	
5	Instrument type (types to be specified by each jurisdiction)	
6	Amount recognised in regulatory capital (Currency in million, as of most recent reporting date)	
7	Nominal amount of instrument	
8	Issue price	
9	Redemption price	
10	Accounting classification	
11	Original date of issuance	
12	Perpetual or dated	
13	Original maturity date	
14	Issuer call subject to prior supervisory approval	
15	Optional call date, contingent call dates and redemption amount	
16	Subsequent call dates, if applicable	
	<i>Coupons / dividends</i>	
17	Fixed or floating dividend/coupon	
18	Coupon rate and any related index	

19	Existence of a dividend stopper	
20	Fully discretionary, partially discretionary or mandatory (in terms of timing)	
21	Fully discretionary, partially discretionary or mandatory (in terms of amount)	
22	Existence of step up or other incentive to redeem	
23	Noncumulative or cumulative	
24	Convertible or non-convertible	
25	If convertible, conversion trigger(s)	
26	If convertible, fully or partially	
27	If convertible, conversion rate	
28	If convertible, mandatory or optional conversion	
29	If convertible, specify instrument type convertible into	
30	If convertible, specify issuer of instrument it converts into	
31	Write-down features	
32	If write-down, write-down trigger(s)	
33	If write-down, full or partial	
34	If write-down, permanent or temporary	
35	If temporary write-down, description of write-up mechanism	
36	Non-compliant transitioned features	
37	If yes, specify non-compliant features	
38	Link to the full term and conditions of the instrument (signposting)	
(1) Insert 'N/A' if the question is not applicable		